

# 2020/21 – 2021/22 Season IIHF ABUSE & HARASSMENT CODE

# Table of Contents

1.	Preamble	3		
2.	Scope of Application	3		
3.	Definitions	4		
4.	Fundamental Principles	6		
5.	Rights and Obligations of the IIHF and the MNAs	8		
6.	Violations	9		
7.	Disclosure and Reporting Procedure	10		
8.	Investigation Procedure	11		
9.	Disciplinary Procedure	12		
10.	Conflict of Law and Transitional Provision	13		
11.	Review and Monitoring of the Code	14		
Appendix 1: Diagram of Abuse and Harassment Procedures				
Αp <sub>l</sub>	pendix 2: Incident Report Form	16		
Apı	pendix 3: Recommendations and References for MNAs	18		

#### 1. Preamble

It is a strong belief of the International Ice Hockey Federation (hereinafter referred to as "the IIHF") that everyone engaged in and around Ice Hockey has the right to participate in a respectful environment free of abuse and harassment in order to strengthen and promote the game of Ice Hockey around the world. Thus, consistent with the objectives and principles of the IIHF, the IIHF adopts and commits to the following Abuse and Harassment Code in reference to Article 2.2.1 (a) and (b) of the IIHF Ethics Code. Furthermore, the IIHF recognizes the importance of various international legislation with regard to human rights and equitability such as Article 10.1 UN International Charter of Physical Education, Physical Activity and Sport or Article 19 UN Convention on the Rights of a Child. By means of this Code, the IIHF underlines that all forms of harassment and abuse regardless of cultural setting contradict the IIHF's vision to safeguard children and adults in Ice Hockey.

## 2. Scope of Application

2.1 Material and Territorial Scope of Application

This Code applies to all violations as defined in Article 3.1 and 6 of this Code and shall cover all violations within the jurisdiction of the IIHF, including violations in advance of, during and after IIHF Competitions.

## 2.2 Personal Scope of Application

- 2.2.1 This Code is applicable to the following institutions, organizations and persons:
  - (a) IIHF Member National Associations and their staff representatives;
  - (b) The IIHF governing bodies (Congress, Executive Committee, Council, Presidency);
  - (c) Persons elected or appointed as an IIHF representative in any IIHF Competition or IIHF Event or to a position in any IIHF governing institution or IIHF Committee/Board;
  - (d) Organizing Committees of IIHF Competitions and their staff representatives;
  - (e) IIHF Officials and Referees; and
  - (f) IIHF National leagues, clubs, teams and their leaders, managers, players, coaches, other team staff and referees whatsoever and howsoever associated, in all matters within the jurisdiction of the IIHF

including, without limitation, IIHF Competitions, international games (Bylaw 19), and international transfers.

## 2.3 Applicability in time

All amendments made to this Code shall apply from the passage date onwards.

## 3. Definitions

#### 3.1 Abuse and Harassment

In alignment with the IOC Consensus Statement (2016) and Safeguarding Guidelines (2016)<sup>1</sup> the IIHF defines Abuse and Harassment as follows:

- (a) **Psychological abuse** means any unwelcome act including confinement, isolation, verbal assault, humiliation, intimidation, infantilisation, or any other treatment which may diminish the sense of identity, dignity, and self-worth.
- (b) Physical abuse means any deliberate and unwelcome act such as for example punching, beating, kicking, biting and burning – that causes physical trauma or injury. Such act can also consist of forced or inappropriate physical activity (e.g., age-, or physique- inappropriate training loads; training when injured or in pain), forced alcohol consumption, or forced doping practices.
- (c) Racism and discrimination means any action or attitude, that subordinates or prejudices an individual or group based on race, skin colour, gender, ethnic, national or social origin, religion, philosophical or political opinion, marital status or sexual orientation.
- (d) **Sexual harassment** means any unwanted and unwelcome conduct of a sexual nature, whether verbal, non-verbal or physical. Sexual harassment can take the form of sexual abuse.
- (e) Sexual abuse means any conduct of a sexual nature, whether noncontact, contact or penetrative, where consent is coerced/manipulated or is not or cannot be given.

<sup>1</sup> <u>IOC Consensus Statement: harassment and abuse (non-accidental violence) in sport, 30 March</u> <u>2016; IOC Guidelines for international federations and national olympic committees related to creating and implementing a Code to safeguard athletes from harassment and abuse in sport, 2 June 2016</u>

(f) Neglect – means the failure of a coach or another person with a duty of care towards the player to provide a minimum level of care to the player, which is causing harm, allowing harm to be caused, or creating an imminent danger of harm.

These forms of Abuse and Harassment may occur in combination or in isolation.

## 3.2 Further definitions

The capitalised terms used in or being in reference to this Code have the following meanings:

**Abuse** means acts of commission or omission leading to players, officials, coaches, administration or anyone involved in ice hockey experiencing harm.

**Player Support Person** means any Coach, Manager, Agent, Team Staff, Medical or paramedical personnel, Parent of a player or any other person assisting a player in any fashion.

**Bullying** (or cyber-bullying if conducted online) means unwanted, repeated and intentional, aggressive behavior usually among peers, which can involve a real or perceived power imbalance. Bullying can include actions such as making threats, spreading rumours or falsehoods, attacking someone physically or verbally and deliberately excluding someone.

**CAS** means the Court of Arbitration for Sport in Lausanne, Switzerland.

**Code** means this Abuse and Harassment Code and all its future amendments.

**Harm** means negative impact or consequences upon a person.

**Hazing** means an organized, usually team-based, degrading and hazardous initiation of new team members by veteran team members.

**IIHF Competition or Event** means an event in accordance with IIHF Bylaw 1.

**IIHF Representatives** means IIHF Staff (whether full-time, part-time, permanently or temporarily), IIHF Council members including the President and Vice-Presidents, members of IIHF Committees, Directorate members, Auditors, members of the IIHF judicial and ethics bodies and any other member of a body or group designated by the IIHF to act on behalf of or advise the IIHF.

**IOC** means the International Olympic Committee.

**Member National Association** (herein after referred to as "MNA") means a national entity that is a member of or is recognized by the IIHF as the entity governing ice hockey in the respective country.

**Negligence** means acts of omission regarding player safety.

**Player** means any natural person competing in an IIHF Competition or a competition of an MNA.

Representatives of MNAs in analogy to IIHF Representatives.

**Safeguarding** means the actions the IIHF undertakes to ensure everyone protected by this Code is safe from physical and emotional harm, abuse, violence, exploitation and neglect in the sport of Ice Hockey.

**The IIHF** means the International Ice Hockey Federation.

**Volunteer** means a person acting on behalf of the IIHF without getting paid in return.

# 4. Fundamental Principles

## 4.1 Zero tolerance

Any form of abuse and harassment as defined in Article 3.1 is prohibited under the IIHF Ethics Code and IOC Code of Ethics:<sup>2</sup>

Rule 2.2.1 IIHF Ethics Code

- (a) There shall be no abuse or discrimination against the human dignity of a person or group of persons by whatever means, including on grounds of race, skin colour, gender, ethnic, national or social origin, religion, philosophical or political opinion, marital status, sexual orientation, gender orientation or other grounds.
- (b) Any activity constituting any form of harassment (physical, mental, moral, professional, psychological or sexual); physical, verbal or sexual abuse; moral or mental injury; acts of violence or illegal activity will not be tolerated. All IIHF Members must conform to the IIHF Abuse and Harassment Code.

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<sup>&</sup>lt;sup>2</sup> IOC Code of Ethics.

The IIHF is committed to Safeguarding all people engaged in Ice Hockey and takes a zero tolerance approach to any form of racism, discrimination, bullying, harassment and abuse.

## 4.2 Principle of paramountcy

The needs and welfare of victims and other vulnerable groups are paramount, and the IIHF pursues an approach favorable to the welfare of victims, children and other vulnerable groups in all its dealings.

## 4.3 <u>Collective responsibility to respond</u>

It is the responsibility of everyone involved in the game of Ice Hockey to recognize and respond to signs of harassment and abuse. It is imperative to act and report in alignment with the established IIHF procedure.

## 4.4 Principle of confidentiality

- 4.4.1 All information obtained through cases arising under this Code will always be dealt with in strict confidence to the extent permitted by law. Information can only be shared without consent of a covered party as defined in Article 2.2 of this Code where the duty to protect the party from harm supersedes another party's right to privacy as highlighted in Article 2.2.1 of the IIHF Ethics Code or else if required by law. Confidentiality must be respected by any person concerned by the procedure.
- 4.4.2 The IIHF will not comment publicly on the specific facts of a pending case except in response to public comments attributed to the victim or the victim's representatives.
- 4.4.3 Each person, entrusted with Safeguarding competencies by the IIHF, must sign an appropriate conflict of interest declaration and confidentiality undertaking in relation to his/her work related to this Code.

## 4.5 <u>Protection of Whistleblowing</u>

The IIHF recognizes that whistleblowing embodies the right of every individual for personal freedom and therefore highlights the importance of a safe disclosure mechanism and commits to protecting those who find the courage to speak up by granting anonymity and creating a confidential reporting system in accordance with Article 4.4 of this Code. Protection is guaranteed regardless of the outcome. However, only reporting conducted in good faith shall be protected.

## 4.6 <u>Time Sensitivity</u>

Abuse and Harassment decisions shall be made in a timely manner and should not be subject to unnecessary delay.

## 5. Rights and Obligations of the IIHF and the MNAs

## 5.1 <u>Binding effect</u>

This Code is binding for:

- (a) the IIHF;
- (b) All MNAs;
- (c) All entities and persons affiliated with the IIHF or the MNAs, i.e. entered into a contract with, delegated responsibilities to or any other form of cooperation and affiliation.

## 5.2 Local safeguarding

The IIHF is fully aware of and respects the autonomy of its MNAs. Therefore, the IIHF strongly encourages all MNAs to adopt its own abuse and harassment policies in order to tackle harassment and abuse at a local level in their organizations and events taking place under its own jurisdiction. The MNAs abuse and harassment practices shall comply with all provisions of this Code and the UNICEF International Safeguards for Children in Sport, unless their national law dictates otherwise.<sup>3</sup>

## 5.3 Duty to inform

The IIHF and the MNAs have a mutual obligation to inform each other if any measures or sanctions are being imposed on the basis of this Code or any similar local Code.

#### 5.4 Mutual recognition

- 5.4.1 Subject to the right of appeal, any decision taken by the IIHF pursuant to this Code shall be recognized, respected and enforced by all MNAs.<sup>4</sup>
- 5.4.2 The IIHF can recognize a conviction or decision imposed on a covered party as defined in Article 2.2 of this Code if such party:

<sup>&</sup>lt;sup>3</sup> UNICEF International Safeguards for Children in Sport.

<sup>&</sup>lt;sup>4</sup> Possible formulation in MNA policies: "Any sanction imposed on a covered person by the IIHF or recognized by [MNA] to be affiliated with the IIHF shall be recognized and enforced by the [MNA]"

- (a) Convicted of a criminal offence which would constitute a violation of this Code as defined in Section 6 of this Code;
- (b) Held by his/her MNA or any other competent sports governing body to which he/she is subject, to have committed an infringement which would constitute a violation of this Code.

Where appropriate, the IIHF reserves the right to open a separate Disciplinary Procedure against the covered party in relation to his/her IIHF related activities.

## 6 Violations

The following conduct constitutes a violation of this Code:			
(a) Psychological abuse;			
(b) Physical abuse;			
(c) Racism and discrimination;			
(d) Sexual harassment;			
(e) Sexual abuse;			
(f) Neglect;			
(g) Complicity, i.e. authorizing, knowingly assisting, causing, encouraging, aiding, abetting, covering up or any other type of intentional complicity causing a violation of this Code;			

- (h) Inducement, i.e. soliciting, instructing, persuading or encouraging any person to engage in conduct whether by act or omission causing a violation of this Code;
- (i) Failure to cooperate with any investigation carried out by or on behalf of the IIHF by:
  - 1. Failing to provide accurately, completely and without undue delay any information and/or documentation and/or access or assistance requested by an investigating party;
  - 2. Obstructing or delaying any investigation that may be carried out including without limitation concealing, tampering with or destroying any

documentation or other information that may be relevant to the investigation.<sup>5</sup>

- (j) Retaliation, i.e. any action taken by a covered party against a person participating in any investigation or proceedings pursuant to this Code or against the reporting person or persons affiliated with the reporting individual.
- (k) Reporting against a covered party in bad faith, i.e. making intentional false accusations, casting suspicion of misconduct and other wilful defamation causing negative consequences for the affected person.

A violation may occur in-person, remotely or online and might consist of a one-off incident or a series of incidents. Any person or entity violating the IIHF Abuse and Harassment Code is subject to the disciplinary procedure set forth in Section 9 of this Code.

## 7 Disclosure and Reporting Procedure

#### 7.1 Reporting mechanism

7.1.1 Concerns regarding harassment and abuse shall be received in the way that is most comfortable for the person submitting the report including anonymous, in-person, verbal, or written report. The reporting individual shall be provided with a confidential email-address and telephone hotline as well as an Incident Report Form (Appendix 2).

## 7.1.2 The receipt of a report will be carried out:

- (a) by the IIHF Office in all international matters in- and out of competition and/or if the respective MNA does not have a procedure in place;
- (b) during an IIHF Competition, by the present the IIHF Safeguarding Officer who can be contacted and with whom a report can be taken in person;
- (c) by the responsible body designated by the MNA abuse and harassment Code in cases subject to the jurisdiction of an MNA.

The responsible body provides the reporting individual with a confirmation of receipt.

<sup>&</sup>lt;sup>5</sup> For the avoidance of doubt, a victim cannot be found guilty of failing to cooperate with an investigation.

7.1.3 IIHF Representatives have the obligation to prevent abuse and harassment and to take immediate action once it has been identified, whether or not a report has been submitted.

## 7.2 Reporting to IIHF

All concerns regarding harassment and abuse can at all times be reported to the IIHF via <a href="integrity@iihfoffice.com">integrity@iihfoffice.com</a>.

## 7.3 Gender of the handling person

Upon request of the reporting individual, a gender-specific person within IIHF Office that received the report will be appointed to handle the case.

## 7.4 Cooperation with the Organizing Committee/MNA

- 7.4.1 At each Event, the Organizing Committee/MNA shall provide the IIHF with a contact person responsible for abuse and harassment. The appointed person should speak the official language(s) of the hosting country and English. This designated person assists the IIHF and connects him/her with the local authorities.
- 7.4.2 The IIHF ensures that the Organizing Committee/MNA maintains the strict principle of confidentiality as set forth in Article 4.4 of this Code.

### 7.5 Reporting criminal activity

- 7.5.1 All current or potential criminal behavior shall be reported to the appropriate legal authorities in agreement with the reporting person and/or the victim or else if required by law.
- 7.5.2 The IIHF Office is responsible for the notification of the local authorities.

#### 7.6 Case Management System

The IIHF develops a confidential case management system for all incidents, allegations and complaints.

# 8 Investigation Procedure

## 8.1 <u>Investigation during an IIHF Competition</u>

After the IIHF Safeguarding Officer at an IIHF Event takes the report and conducts all necessary on-site investigations, he/she passes the report

including all additional findings on to a designated person within the IIHF Office. The IIHF Office generates a case file, assesses the case and conducts all further investigations it deems necessary. Subsequently, if there is enough information to further process the case, the IIHF Office submits the case file to the IIHF Ethics Board.

#### 8.2 <u>Investigation outside of an IIHF Competition</u>

Upon receipt of a report, the IIHF Office generates a case file, and submits the case file to the IIHF Ethics Board.

## 8.3 <u>Provisional Measures</u>

- 8.3.1 The IIHF Office may impose any provisional measures it deems fit. The provisional measure is appealable to the CAS.
- 8.3.2 A provisional measure shall be taken into account in the determination of any sanction which may ultimately be imposed.

## 9 Disciplinary Procedure

## 9.1 Applicability of IIHF Disciplinary Code

The IIHF may take disciplinary action against a person or entity in accordance with the IIHF Disciplinary Code, if a violation as set out under Article 6 of this Code has been established.

#### 9.2 IIHF Disciplinary Board

The IIHF Disciplinary Board is the competent judicial body for all abuse and harassment decisions in- and outside of IIHF Competitions. During an IIHF Competition, the IIHF Disciplinary Board may delegate some investigatory or adjudicatory actions to a Championship Disciplinary Panel/Directorate, where practicability reasons justify it.

#### 9.3 Burden and standard of Proof

The IIHF bears the burden of establishing that a violation has been committed. The standard of proof in all matters under this Code shall be the balance of probabilities, a standard that implies that on the preponderance of the evidence it is more likely than not that a violation of this Code has occurred.

### 9.4 <u>Disciplinary Measures</u>

- 9.4.1 Disciplinary measures may be imposed on all covered Individuals. In addition, if the violation of this Code involves more than two representatives of a MNA, if there are multiple violations by a MNA, if an act cannot be attributed to any specific individual or the IIHF concludes an organization or entity to be fully or partly responsible for any violation of this Code (Article 2.2.2), appropriate sanctions on the MNA or entity may be imposed.
- 9.4.2 Sanctions shall be imposed in accordance with the IIHF Disciplinary Code.

#### 9.5 Appeal

A decision by the IIHF Disciplinary Board for a violation under this Code cannot be appealed to the IIHF Appeal Board. Decisions of the IIHF Disciplinary Board can be appealed to the CAS in accordance with the Code of Sports Related Arbitration. The decision of the IIHF Disciplinary Board including the implemented sanctions remain in effect while under appeal unless the CAS orders otherwise.

## 9.6 Principle of Proportionality

In all its disciplinary decisions, the IIHF shall strictly guard the principle of proportionality.

#### 10 Conflict of Law and Transitional Provision

## 10.1 Conflict of Law

All MNAs are free in developing their own abuse and harassment policy. However, unless specifically prevented otherwise in an MNA's national law, this Code takes precedence over all other abuse and harassment policies of a MNA, if they are in direct conflict with it.

#### 10.2 <u>Transitional Provision</u>

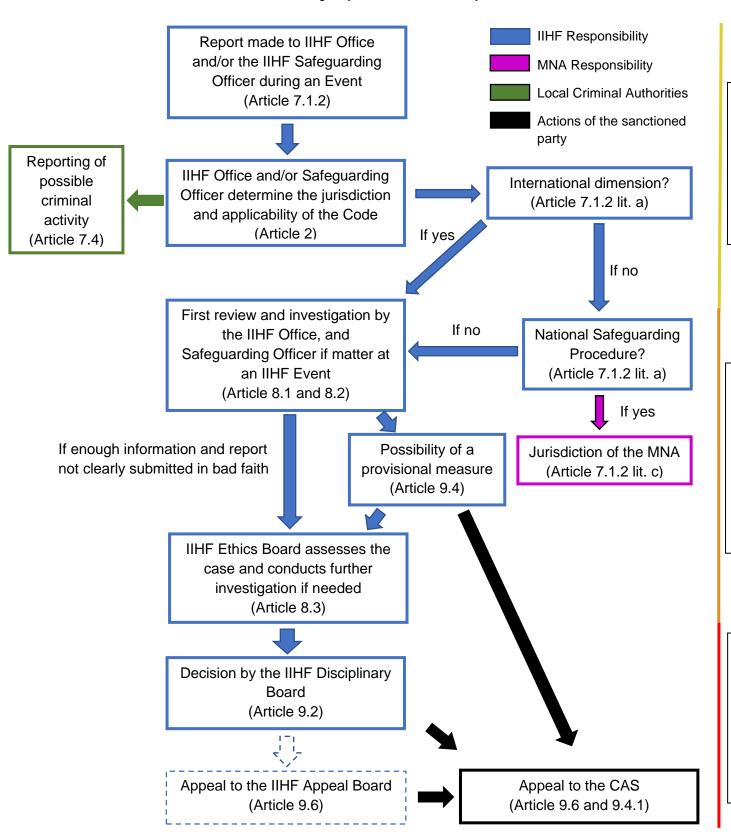
As long as an MNA has not implemented an abuse and harassment Code, the IIHF Abuse and Harassment Code is applicable.

# 11 Review and Monitoring of the Code

This Code shall be reviewed after one year initially and subsequently every 2 years or earlier if deemed necessary by the IIHF Office.

## **Appendix 1: Diagram of Abuse and Harassment Procedures**

All behavior that contravenes the obligations of this Code must be reported immediately to the IIHF Office during an IIHF Event. For criminal conduct, the relevant authorities in your country shall also be notified. Please make sure to contact emergency services immediately if needed.



## **Appendix 2: Incident Report Form**

Your name

Your email address

## **CONFIDENTIAL - IIHF Incident Report Form**

Remarks: You do not need to fully complete this form for the IIHF to open an investigation. However, the more information You provide, the easier it is for the IIHF to pursue the Incident.

You should return this Form to the IIHF at: integrity@iihfoffice.com.

Your phone number						
Your relationship to						
person(s) involved						
in the integrity						
incident (if not						
personally						
involved)						
Nature of Integrity Incident						
Doping	□ Competition	☐ Abuse /	☐ Corruption (anything			
	Manipulation	Harassment	outside of Doping, Competition Manipulation or			
	·		Abuse/Harassment)			
			,			
Subject of Incident						
Name(s) of any perso	on(s) alleged to have be	en involved with the co	ncern. include contact			
	g. phone number, email		,			
	,. p	aaa. 333, 313.).				
Please give as many	details as possible abou	it the nerson(s) or orga	nization involved in			
	e.g. job title, federation,	,	mzadon mvorvca m			
the integrity incluent,	e.g. job lille, rederation,	Tole III Ice Hockey etc.				

<sup>&</sup>lt;sup>6</sup> For example, for a concern of Abuse / Harassment, indicate the name/contact details of the victim(s) (if not person reporting) and the name of the person(s) accused of the abuse or harassment.

Description of Integrity Incident				
Please give as many details as possible about match-fixing attempt, knowledge about doping				
Additional material / evidence				
If available, please add any document, picture	e or video which may support your report.			
Action taken				
What has already been done about the Integral contacted (police; medical help; other local audented Please also include contact details of contacted Other relevant comments	thorities; people close to the victim etc.)?			
Other relevant comments				
To be completed by the IIUE				
To be completed by the IIHF  Confirm and specify the action that has been				
taken to respond to the report				
Name of person to receive the report including				
signature				
Date of case closing				

## **Appendix 3: Recommendations and References for MNAs**

As stated in Article 5.2 the IIHF strongly supports the efforts of its MNAs in creating a robust local abuse and harassment Code. For these purposes the MNA can take over parts of this Code customizing it to their own needs. The IIHF Abuse and Harassment Code has been developed in accordance with the <a href="IOC Safeguarding Toolkit">IOC Safeguarding Toolkit</a>. MNAs are advised to read and utilize the Toolkit when drafting their own policies and procedures.

The IIHF calls for a close **cooperation with local authorities and grassroot organizations** in order to develop a functioning system **complying with local law**. With regard to reporting, the IIHF recommends the MNAs to pay attention to a possible mandatory reporting of misconduct or even suspicion of such under local law.

## Further useful references and links:

- IOC Consensus Statement 2016 (<a href="https://www.olympic.org/athlete365/library/safe-sport/">https://www.olympic.org/athlete365/library/safe-sport/</a>)
- International Safeguards for Children in Sport (<a href="https://www.end-violence.org/sites/default/files/paragraphs/download/Implementation-Guide-for-organisations-who-work-with-children-A5-version-re.pdf">https://www.end-violence.org/sites/default/files/paragraphs/download/Implementation-Guide-for-organisations-who-work-with-children-A5-version-re.pdf</a>)
- IOC Guidelines for international federations (IFs) and national Olympic committees (NOCs) related to creating and implementing a Code to safeguard athletes from harassment and abuse in sport (<a href="https://www.olympic.org/athlete365/wp-content/uploads/2017/11/IOC Guidelines for IFs and NOCs.pdf">https://www.olympic.org/athlete365/wp-content/uploads/2017/11/IOC Guidelines for IFs and NOCs.pdf</a>)
- Bullying, Harassment and Abuse Policies of Hockey Canada (<a href="https://cdn.hockeycanada.ca/hockey-canada/Hockey-Programs/Safety/Speak-Out/Downloads/bullying\_eng.pdf">https://cdn.hockeycanada.ca/hockey-canada/Hockey-Programs/Safety/Speak-Out/Downloads/bullying\_eng.pdf</a>)
- Safesport Program Handbook USA Hockey
   (https://cdn1.sportngin.com/attachments/document/5cbd 1899191/USA Hockey SafeSport Handbook Published on 6-19 19 .pdf# ga=2.220414107.2067451074.1576500741-1544335768.1576500741)
- UNICEF Safeguarding in Sport (<a href="https://www.unicef.org.uk/sport-for-development/safeguarding-in-sport/">https://www.unicef.org.uk/sport-for-development/safeguarding-in-sport/</a>)
- UNODC and IOC Practical Guide for Development and Implementation of Reporting Mechanisms in Sport

(https://www.unodc.org/documents/corruption/Publications/2019/19-09580\_Reporting\_Mechanisms\_in\_Sport\_ebook.pdf)

- Interpol and IOC Integrity in Sport Initiative: Handbook on conducting fact-finding inquiries into breaches of sports integrity
   (https://stillmed.olympic.org/media/Document%20Library/OlympicOrg/IOC/What-We-Do/Protecting-Clean-Athletes/Betting/Education-Awareness-raising/Interpol-Handbook-on-Conducting-Fact-Finding-Inquires-Into-Breaches-of-Sports-Integrity.pdf)
- FIFA Child Safeguarding Toolkit (<a href="https://resources.fifa.com/image/upload/toolkit-fifa-guardians.pdf?cloudid=nz1lyz3ykaioy7gwfmgs">https://resources.fifa.com/image/upload/toolkit-fifa-guardians.pdf?cloudid=nz1lyz3ykaioy7gwfmgs</a>)